



U.S. Department of Homeland Security  
Cybersecurity & Infrastructure Security Agency  
Infrastructure Security Division  
Washington, DC 20528

## **EXPRESS AND CERTIFICATION STATEMENT FORM**

### **PROTECTED CRITICAL INFRASTRUCTURE INFORMATION (PCII) PROGRAM**

Submitters may voluntarily submit critical infrastructure information for PCII protection under the Critical Infrastructure Information Act of 2002. All submissions must be accompanied by an Express Statement and a Certification Statement that allows protection of the information throughout the validation process.

#### **EXPRESS STATEMENT**

I am submitting this information voluntarily to the U.S. Federal Government in expectation of protection from disclosure as provided by the provisions of U.S. Code Title 6, Chapter 1, Subchapter II, Part B, Section 133, and the Critical Infrastructure Information Act of 2002. **(Please initial)**

#### **CERTIFICATION STATEMENT**

I am authorized to submit this information as one of the following **(Please initial)**:

- Owner (or a representative) of a privately or publicly owned company;
- Representative from an industry association;
- Individual providing an informed observation of the critical infrastructure;
- State/local/tribal/territorial government official (that can attest to voluntary participation);
- Other:

To the best of my knowledge the information submitted is not customarily in the public domain. I am not submitting this information to comply with a regulatory requirement. I am not submitting this information to satisfy a regulatory requirement. **(Please initial)**

#### **ACCESS DISCLOSURE**

Individuals eligible to access PCII include Federal, State, Local, Tribal, or Territorial government employees or contractors who meet the following requirements **(Please initial)**:

- Assigned to homeland security duties related to critical infrastructure demonstrating a valid need-to-know; and
- Current authorized user (to include completion of all required authorized user training); and
- Such individuals must comply with the requirements stated in the Critical Infrastructure Information Act of 2002 and the Final Rule (6 C.F.R. part 29).

Knowing or willful false representations provided in this submission may constitute a violation of 18 U.S.C. 1001 and are punishable by fine and imprisonment.

Signature:

Date:

**\*\*Continued on Next Page\*\***

**Submitter Contact Information**

Name: Title:  
Email: Phone:

Mailing Address

Street/P.O. Box:  
City:  
State:  
ZIP Code:

Organization or Company Name:

**Alternate Submitter Contact Information**

Name: Title:  
Email: Phone:

Mailing Address

Street/P.O. Box:  
City:  
State:  
ZIP Code:

Organization or Company Name:

**For CISA Use Only**

CISA Sponsor/Assessor Name:  
Regional or HQ Office:

Notes:

Email: Phone:

Revised June 2022

## **EXPRESS AND CERTIFICATION STATEMENT FORM**

### **SUBMISSION REQUIREMENTS**

1. The Submitter (owner/operator) should be familiar with the Express Statement and the Certification Statement, which are required for a complete submission.
2. The Submitter should affirm one of the following:
  - An owner (or a representative) of a privately or publicly owned company;
  - A State/Local/Tribal/Territorial government official (that can attest to voluntary participation);
  - A representative from an industry association; or
  - An individual providing an informed observation of the critical infrastructure.
3. The Submitter should read the following statements and affirm, to the best of his/her knowledge they are true:
  - To the best of my knowledge the information submitted is not customarily in the public domain.
  - I am not submitting this information to comply with a regulatory requirement. I am not required provide this information to a federal regulatory entity.
  - I am submitting this information voluntarily.
  - I am submitting this information to the federal government in expectation of protection from disclosure as provided by the provisions of U.S. Code Title 6, Chapter 1, Subchapter II, Part B, Section 133, and the Critical Infrastructure Information Act of 2002.
4. The Submitter should read the following statements and understand that:
  - Individuals eligible to access PClI include Federal, State, Local, Tribal, or Territorial government employees or contractors assigned to homeland security duties related to critical infrastructure, are trained in PClI regulations, PClI Program policy and procedure, and demonstrate a valid need-to-know.
  - Such individuals must comply with the requirements stated in the Critical Infrastructure Information Act of 2002 and 6 C.F.R. part 29. They are required to take PClI Authorized User training and fulfill other access requirements.